SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* WEST STEVEN E				uer Name and Ticke mondback En	0		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>WEST SIE</u>	VENE					[]	X	Director	10% 0	Dwner		
(Last) 500 WEST TH	(First) EXAS, SUITE	(Middle) E 1200		te of Earliest Transa 3/2021	action (Month/	Day/Year)		Officer (give title below)	Other below	(specify)		
· · · · · · · · · · · · · · · · · · ·				mendment, Date of	Original Filed	(Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable					
(Street)					•		Line)					
MIDLAND	ТХ	79701					X	Form filed by On	e Reporting Per	son		
		/5/01						Form filed by Mo Person	re than One Re	porting		
(City)	(State)	(Zip)										
		Table I - Noi	n-Derivative S	Securities Acq	uired, Disp	osed of, or Benet	ficially	Owned				
1. Title of Security (Instr. 3) 2. Transac				2A. Deemed	3.	4. Securities Acquired (A	I. Securities Acquired (A) or 5. Amount of			7. Nature		

	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transa Code (8)		Disposed Of 5)	(D) (Instr	. 3, 4 and	Securities Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	06/03/2021		A		2,435 ⁽¹⁾	A	\$ <mark>0</mark>	14,691	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(3,1	,			-	•							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 6. Date Exercisable and Expiration Date (Month/Day/Year) Securities Acquired (Instr. 3, 4 Instr. 3, 4 Instr. 3, 4 Instr.		Amount of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These securities are restricted stock units, each representing a contingent right to receive one share of common stock, par value \$0.01 per share, of the issuer. These restricted stock units were granted to Mr. West as an annual non-employee director grant under the issuer's equity incentive plan and will vest on the earlier of the one-year anniversary of the date of grant and the date of the 2022 annual meeting of stockholders of the issuer.

Remarks:

/s/ Teresa L. Dick, as attorney-06/07/2021

in-fact for Steven E. West

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

Instruction 1(b).